



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chang-Ping Lee et al.

APPLICATION NO.: 10/074,996

FILED: February 12, 2002

TITLE: Method and Apparatus for Securing Electronic Data

EXAMINER: Unknown

GROUP ART UNIT: 2131

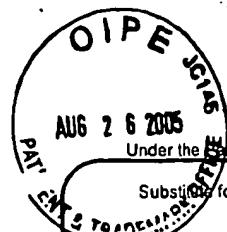
ATTY.DKT.NO.: PA2905US

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List of Co-Pending Patent Applications That May Be Directed
Towards Similar Subject Matter

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	10/076,254	Alain Rossmann	Method and Architecture for Providing Pervasive Security to Digital Assets	February 12, 2002
Examiner's Signature:				Date 9/28/05

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Complete if Known

Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown

Attorney Docket Number PA2905US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (<i>if known</i>)			
LM	US- 4,757,533		07-12-1988	Allen et al.	
	US- 5,052,040		09-24-1991	Preston et al.	
	US- 5,058,164		10-15-1991	Elmer et al.	
	US- 5,220,657		06-15-1993	Bly et al.	
	US- 5,247,575		09-21-1993	Sprague et al.	
	US- 5,369,702		11-29-1994	Shanton	
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	US- 5,680,452		10-21-1997	Shanton	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)				
LM	EP 0 674 253 A1		09-27-1995	Kabushiki Kaisha Toshiba		
	EP 0 809 170 A1		11-26-1997	NCR International Inc.		
	EP 0 950 941 A2		10-20-1999	Fujitsu Limited		
	EP 1 130 492 A2		09-05-2001	Sony Corporation		
LM	EP 1 154 348 A2		11-14-2001	Matsushita Electronics Corp.		
	GB 2 328 047 A		02-10-1999	Microsoft Corporation		

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9/20/05

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STATEMENT BY APPLICANT**
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Sheet

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Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown

Attorney Docket Number PA2905US

U. S. PATENT DOCUMENTS

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W		US- 5,787,169	07-28-1998	Eldridge et al.	
		US- 5,787,173	07-28-1998	Seheideit et al.	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
W		JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
		WO 01/84271 A2	11-08-2001	Certia, Inc.		
		WO 01/61438 A2	08-23-2001	Permabit, Inc.		
		WO 01/63387 A3	08-30-2001	Visualgold.com, Inc.		
V		WO 01/78285 A1	10-18-2001	Mediadna, Inc.		
M		EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.		

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CW		US- 6,505,300 B2	01-07-2003	Chan et al.	
		US- 6,542,608 B2	04-01-2003	Scheidt et al.	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
CW		WO 00/56028	09-21-2000	Texar Software Corp.		

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CL	US-	2003/0115146 A1	06-19-2003	Lee et al.	
	US-	2003/0182579 A1	09-25-2003	Leporini et al.	
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	US-				

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CA		US- 5,715,403	02-03-1998	Stefik	
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Sheet	6	of	6	Attorney Docket Number	PA2905US

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
W		"Expiration Mechanism for Chipcards," IBM Technical Disclosure Bulletin, October 1, 2001, UK.			
CW		McDANIEL et al., "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999.			

Examiner Signature	<i>CWL</i>	Date Considered	9/28/05
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Form PTO-1449 (Modified)		Atty Docket No.: SS-008		Application No.: 10/074,996																																																																																																																																																																																																																																			
List of Patents and Publications Statement (Use several sheets if necessary)				Applicant: Chang-Ping Lee Filing Date: 02/12/2002																																																																																																																																																																																																																																			
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<p style="text-align: right;">RECEIVED JUN 14 2002 Technology Center 2100</p> <table border="1"> <tr><td>A.</td><td>4,799,258</td><td>Jan. 17, 1989</td><td>Davies</td><td>380/21</td><td></td><td></td><td>02/07/1985</td></tr> <tr><td>B.</td><td>5,276,735</td><td>Jan. 4, 1994</td><td>Boebert et al.</td><td>380/21</td><td></td><td></td><td>04/17/1992</td></tr> <tr><td>C.</td><td>5,499,297</td><td>Mar. 12, 1996</td><td>Boebert</td><td>380/23</td><td></td><td></td><td>12/20/1994</td></tr> <tr><td>D.</td><td>5,502,766</td><td>Mar. 26, 1996</td><td>Boebert et al.</td><td>380/25</td><td></td><td></td><td>10/26/1993</td></tr> <tr><td>E.</td><td>5,600,722</td><td>Feb. 4, 1997</td><td>Yamaguchi et al.</td><td>380/21</td><td></td><td></td><td>10/06/1993</td></tr> <tr><td>F.</td><td>5,745,573</td><td>Apr. 28, 1998</td><td>Lipner et al.</td><td>380/21</td><td></td><td></td><td>01/10/1997</td></tr> <tr><td>G.</td><td>5,862,325</td><td>Jan. 19, 1999</td><td>Reed et 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Examiner Initials		Publication Details
U	U	"Inside Encrypting File System", Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V0.	"Inside Encrypting File System", Part 2, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V1.	"Security with Encrypting File System", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V2.	"How EFS Works", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V3.	"Encrypting File System", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V4.	"Features of EFS", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
✓	V5.	"Windows 2000 EFS", in the April 1999 issue of <i>Windows NT Magazine</i>
✓	V6.	Schneck et al, "System for controlling access and distributing of digital property", US Patent Publication, Sep. 13, 2001, US2001/0021926A1.
✓	V7.	Felsher "Information record infrastructure, system and method", US Patent Application, Jan. 24, 2002, US2002/0010679A1
	V8.	
	V9.	

Examiner	Date Considered
CJ	9/28/05

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